

# Fixed-Income Trade Ticket

Use this guide to assist you in completing a B2B Bank Securities Services Inc (B2BBSSI) Fixed Income Trade Ticket. This trade ticket is for trading fixed-income securities, such as bonds, strip bonds, T-bills, secondary market sells of Canada Savings Bonds (CSBs), Ontario Savings Bonds (OSBs) and other savings bonds.

## Trade Types Permitted:

- Buys of fixed-income products
- Sells of fixed-income products

## Fixed Income Trade Ticket Restrictions:

- One ticket per trade.
- Cannot be used to purchase or sell GICs/GIAs.
- Cannot be used for trades that are executed at other Dealers and whose settlement is either a DAP (Delivery Against Payment) or RAP (Receipt Against Payment.) For more information on DAP or RAP trades, please contact the Trading Desk.

To place a fixed income trade, please call the Trading Desk at **1-866-542-3403**.

## Introducing Dealers

If you are an Introducing Dealer and would like to place a trade, please complete the following steps:

1. Complete the B2BBSSI Fixed Income Trade Ticket
2. Fax to B2B Bank Securities Services Inc.:  
Attn: Trading Desk  
Fax: 416-413-0733

## OR

Mail or courier to:  
B2B Bank Securities Services Inc.  
Attn: Trading Desk  
199 Bay Street, Suite 610,  
PO Box 35 STN Commerce Court,  
Toronto ON M5L 0A3



**B2B BANK  
SECURITIES SERVICES**

The following process must be followed when filling out a Fixed Income Trade Ticket. Tickets that are missing the required information may result in processing delays.

Section	Required Fields	Tips
<b>1. Call Backs</b>	Contact information is required on all faxed or mailed fixed income trade tickets.	Call backs are made on a best- effort basis. If you would like to check the status of your order, please call the Trading Desk.
<b>2. The Trade</b>	All	<ol style="list-style-type: none"> <li>1. Contact the Trading Desk for issuer information; bond prices (net to client price); yields; credit rating; type of fixed-income product; maturity date; and bond listings.</li> <li>2. Net To Client Price - Enter either "MKT" for market orders or a price. Net to Client prices are subject to change and are not guaranteed if trade is placed via trade ticket.</li> <li>3. Default - If no price is indicated the trade will be executed as a market order.</li> </ol>
<b>3. Account Information</b>	All	
<b>4. Authorization</b>	<p>Required</p> <p><b>A. Client Signature is optional.</b> Please note that your Dealer may require it. Please refer to your Dealer's policies.</p> <p><b>B. Advisor Signature</b> is mandatory.</p> <p>Note – not all MFDA Dealers are licensed to trade fixed- income products. If you are unsure, please refer to your Dealer's policies.</p>	Contact your Dealer's compliance department to determine if your Dealer can trade exempt securities.

For more information, please call the Trading Desk at **1-866-542-3403** or visit **[b2bbank.com/dealerservices](https://b2bbank.com/dealerservices)**.