B2B BANK DISCOUNT BROKERAGE
A division of B2B Bank Securities Services Inc.

FOR DEALER USE ONLY. B2BBDS DOES NOT MONITOR

DEALER I.D. NUMBER

Deregistration/Withdrawal Request

For registered or investment accounts

FAX: 416.413.0733

TO EXECUTE THIS REQUEST:
FAX ONLY **ONCE.** DO NOT SEND ORIGINAL. REQUESTS RECEIVED TWICE MAY BE DUPLICATED.

1. ACCOUNT INFORMATION

CLIENT NAME (LAST NAME OR COMPANY/ORGANIZATION NAME) | (FIRST NAME) | B2BBDB ACCOUNT NUMBER | INVESTMENT LOAN NUMBER

DEALER NAME | ADVISOR NAME | DEALER # | ADVISOR #

2. DEREGISTRATION / WITHDRAWAL DETAILS

A. Source of Withdrawal – check one (✓) (MANDATORY)

- ☐ Cash – cash settled in B2B Bank Discount Brokerage ("B2BBDB") account
- ☐ Wire Order – previously placed dealer trade **(complete section 3, indicate wire order numbers and cash if applicable)**
  - * For net deregistration/withdrawal, gross up redemption to include B2BBDB fee and taxes.
  - ** The mutual fund trade fee does not apply to wire order trades.
- ☐ Intermediary – B2BBDB to place trade **(complete section 3 for partial deregistration/withdrawal and cash if applicable).**

B. Amount – check one (✓) (MANDATORY)

- ☐ Full Deregistration/Withdrawal – entire account will be sold
- ☐ Gross – Partial Deregistration/Withdrawal $______________ (Proceeds will be reduced by applicable taxes and fees)
- ☐ Net – Partial Deregistration/Withdrawal $______________ (Proceeds will be net of applicable taxes and fees)

C. Delivery Instructions – check one (✓)

- ☐ EFT (void cheque attached)
- ☐ EFT (banking information on file) Bank a/c # ______________
- ☐ Wire (void cheque attached, charge will apply)
- ☐ Wire (banking information on file, charge will apply) Bank a/c # ______________
- ☐ Mail to client (send to address on file) - default
- ☐ Paydown or payout B2B loan
- ☐ Payout B2B loan and EFT balance to client's account

D. Special Instructions – check only if applicable

- ☐ Apply withdrawal proceeds to Income Plan where minimum is applicable
- ☐ Special withholding tax amount ____________ %

3. SELL – ONLY USE FOR MUTUAL FUNDS – Trades are executed by Fund Code only

SERVICE STANDARD FOR MUTUAL FUND TRADING – Trades faxed directly to Mutual Fund Trading by 12 noon (EST) and received in good order will be processed on a best effort basis for that day's trade date. **However, same day Net Asset Value (NAV) is not guaranteed**.

SELL

FUND NAME	FUND CODE	AMOUNT TYPE	AMOUNT	WIRE ORDER #	ESTIMATE OF FEES /CHARGES DEALER USE ONLY. (OPTIONAL) B2BBDS DOES NOT VALIDATE THIS SECTION.	ESTIMATE OF TAXES DEALER USE ONLY. (OPTIONAL) B2BBDS DOES NOT VALIDATE THIS SECTION.

AMOUNT TYPE LEGEND – *Specify an amount

ALL FUNDS

- **A** – ALL
- *** D** – Dollars
- *** P** – Percentage of shares
- *** S** – Shares

N$M MUTUAL FUNDS ONLY

- **F** – All shares/units not subject to DSC (also known as M + T free)
- **M** – Matured shares/units ONLY
- **T** – Shares/units allowed to be redeemed not subject to DSC, and excluding matured shares/units

N$M LABOUR SPONSORED INVESTMENT FUNDS (LSIFs)

- **B** – contributor disabled or terminally ill
- **C** – held longer than 5 - 8 years
- **J** – death
- **L** – free of DSC

4. AUTHORIZATION – For Power of Attorney, the documents must be attached.

X ______________ CLIENT SIGNATURE **(MANDATORY)** | DATE (mm/dd/yyyy)

X ______________ ADVISOR SIGNATURE **(MANDATORY)** | DATE (mm/dd/yyyy)

X ______________ CLIENT SIGNATURE **(IF JOINT ACCOUNT)** | DATE (mm/dd/yyyy)

Please provide photo ID for non-residents of Canada.