

FOR DEALER USE ONLY. B2BDBS DOES NOT MONITOR  
DEALER I.D. NUMBER

# Deregistration/Withdrawal Request

For registered or investment accounts

FAX: 416.413.0733

TO EXECUTE THIS REQUEST:  
FAX ONLY **ONCE**. DO NOT SEND ORIGINAL. REQUESTS RECEIVED TWICE MAY BE DUPLICATED.

## 1. ACCOUNT INFORMATION

CLIENT NAME (LAST NAME OR COMPANY/ORGANIZATION NAME) \_\_\_\_\_ (FIRST NAME) \_\_\_\_\_ B2BDB ACCOUNT NUMBER \_\_\_\_\_ INVESTMENT LOAN NUMBER \_\_\_\_\_  
DEALER NAME \_\_\_\_\_ ADVISOR NAME \_\_\_\_\_ DEALER # \_\_\_\_\_ ADVISOR # \_\_\_\_\_

## 2. DEREGISTRATION / WITHDRAWAL DETAILS

A. Source of Withdrawal – check one (✓) (MANDATORY)	B. Amount – check one (✓) (MANDATORY)	C. Delivery Instructions – check one (✓)	D. Special Instructions – check only if applicable
<input type="checkbox"/> Cash – cash settled in B2B Bank Discount Brokerage (“B2BDB”) account <input type="checkbox"/> Wire Order – previously placed dealer trade (complete section 3, indicate wire order numbers and cash if applicable) * For net deregistration/withdrawal, gross up redemption to include B2BDB fee and taxes. ** The mutual fund trade fee does not apply to wire order trades. <input type="checkbox"/> Intermediary – B2BDB to place trade (complete section 3 for partial deregistration/withdrawal and cash if applicable).	<input type="checkbox"/> Full Deregistration/Withdrawal – entire account will be sold <input type="checkbox"/> Gross – Partial Deregistration/Withdrawal \$ _____ (Proceeds will be reduced by applicable taxes and fees) <input type="checkbox"/> Net – Partial Deregistration/Withdrawal \$ _____ (Proceeds will be net of applicable taxes and fees)	<input type="checkbox"/> EFT (void cheque attached) <input type="checkbox"/> EFT (banking information on file) Bank a/c # _____ <input type="checkbox"/> Wire (void cheque attached, charge will apply) <input type="checkbox"/> Wire (banking information on file, charge will apply) Bank a/c # _____ <input type="checkbox"/> Mail to client (send to address on file) - default <input type="checkbox"/> Paydown or payout B2B loan <input type="checkbox"/> Payout B2B loan and EFT balance to client's account	<input type="checkbox"/> Apply withdrawal proceeds to Income Plan where minimum is applicable <input type="checkbox"/> Special withholding tax amount _____ %

## 3. SELL – ONLY USE FOR MUTUAL FUNDS – Trades are executed by Fund Code only

**SERVICE STANDARD FOR MUTUAL FUND TRADING** – Trades faxed directly to Mutual Fund Trading by 12 noon (EST) and received in good order will be processed on a best effort basis for that day's trade date. **However, same day Net Asset Value (NAV) is not guaranteed.**

SELL						
FUND NAME	FUND CODE	AMOUNT TYPE	AMOUNT	WIRE ORDER #	ESTIMATE OF FEES /CHARGES <small>DEALER USE ONLY (OPTIONAL) B2BDBS DOES NOT VALIDATE THIS SECTION.</small>	ESTIMATE OF TAXES <small>DEALER USE ONLY (OPTIONAL) B2BDBS DOES NOT VALIDATE THIS SECTION.</small>

AMOUNT TYPE LEGEND – \*Specify an amount

<b>ALL FUNDS</b> A – ALL * D – Dollars * P – Percentage of shares * S – Shares	<b>N\$M MUTUAL FUNDS ONLY</b> F – All shares/units not subject to DSC (also known as M + T free) M – Matured shares/units ONLY T – Shares/units allowed to be redeemed not subject to DSC, and excluding matured shares/units	<b>N\$M LABOUR SPONSORED INVESTMENT FUNDS (LSIFs)</b> B – contributor disabled or terminally ill C – held longer than 5 - 8 years J – death L – free of DSC
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## 4. AUTHORIZATION – For Power of Attorney, the documents must be attached.

**X** \_\_\_\_\_ DATE (mm/dd/yyyy) \_\_\_\_\_ **X** \_\_\_\_\_ DATE (mm/dd/yyyy) \_\_\_\_\_  
CLIENT SIGNATURE (MANDATORY) DATE (mm/dd/yyyy) ADVISOR SIGNATURE (MANDATORY) DATE (mm/dd/yyyy)

**X** \_\_\_\_\_ DATE (mm/dd/yyyy) \_\_\_\_\_  
CLIENT SIGNATURE (IF JOINT ACCOUNT) DATE (mm/dd/yyyy)

Please provide photo ID for non-residents of Canada.