B2B BANK DISCOUNT BROKERAGE
A division of B2B Bank Securities Services Inc.

FOR INTERNAL / DEALER USE ONLY
☐ FUND FACTS ISSUED PRE-SALE

Mutual Fund Trade Ticket

Trades are executed by Fund Code ONLY.

FAX (416) 413-0733

The client must receive the most recent version of the Fund Facts document by their dealer pre-sale of any mutual fund purchase - as per NI 81-101.
To avoid any processing delays, please call the Direct Trading team at 416.413.7201 or 1.866.542.3403 before you submit these instructions.

TO EXECUTE THIS TRADE:
FAX ONLY ONCE. DO NOT SEND ORIGINAL. TRADES RECEIVED TWICE MAY BE DUPLICATED.

1. ACCOUNT INFORMATION

CLIENT NAME (LAST NAME OR COMPANY/ORGANIZATION NAME) | (FIRST NAME) | ACCOUNT NUMBER

DEALER NAME | ADVISOR NAME | DEALER # | ADVISOR #

2. TRADES

SELL							BUY								
SWITCH	CONVERSION	FUND CODE	AMOUNT TYPE	AMOUNT	GROSS/ NET	WIRE ORDER # DEALER USE ONLY	FUND CODE	AMOUNT TYPE	AMOUNT	SALES CHARGE	FUND OPTION	Dividend Option	COMMISSION REBATE	WIRE ORDER # DEALER USE ONLY
S W	C V				G N								$ %	
FUND NAME (optional)							FUND NAME (optional)							
S W	C V				G N								$ %	
FUND NAME (optional)							FUND NAME (optional)							
S W	C V				G N								$ %	
FUND NAME (optional)							FUND NAME (optional)							
S W	C V				G N								$ %	
FUND NAME (optional)							FUND NAME (optional)							

Amount Type Legend – *Specify an amount

- **A** – ALL
- * **D** – Dollars
- * **P** – Percentage of shares
- * **S** – Shares
- **F** – All shares/units not subject to DSC (also known as M + T free)
- **M** – Matured shares/units ONLY
- **T** – Shares/units allowed to be redeemed not subject to DSC, and excluding matured shares/units (also known as 10% free)

LSIF Amount Type Legend

- **B** – contributor disabled or terminally ill
- **C** – held longer than 5 – 8 years
- **J** – death
- **L** – free of DSC

Dividend Option Legend

- **1** – Reinvest
- **4** – Cash
- **9** – Copy
- **E** – EFT to client

Note: The default is Copy. (Copy over dividend option and payment instruction from the switch out fund). If EFT to client is selected, complete section 3

3. BANKING INFORMATION – Attach a Void Cheque **(for Dividend Option EFT to client ONLY)**

BANK NAME | BANK TRANSIT NO. | BANK ACCOUNT NO.

4. AUTHORIZATION

X
CLIENT SIGNATURE **(IF REQUIRED)** DATE (mm/dd/yyyy)

X
CLIENT SIGNATURE **(IF REQUIRED)** DATE (mm/dd/yyyy)

X
ADVISOR SIGNATURE DATE (mm/dd/yyyy)

100-04-107E (01/08/2018)