



A division of B2B Bank
Securities Services Inc.

The purpose of this form is to collect information which securities dealers are required by law to obtain under the Proceeds of Crime (Money Laundering) and Terrorist Financing Act and by the By-laws, Regulations and Rules of the self-regulatory organizations governing securities dealers when opening an account.

<input type="checkbox"/> Government-Issued Photo Identification Method			
1. Name (full name as it appears on the identification document)			
Type of ID		ID code	ID number
Issuing Jurisdiction/Entity/Authority		ID place of issue	Issuing country
Date of issue (mm/dd/yyyy)		ID expiry date (mm/dd/yyyy)	ID verification date (mm/dd/yyyy)
2. Name (full name as it appears on the identification document)			
Type of ID		ID code	ID number
Issuing Jurisdiction/Entity/Authority		ID place of issue	Issuing country
Date of issue (mm/dd/yyyy)		ID expiry date (mm/dd/yyyy)	ID verification date (mm/dd/yyyy)
<input type="checkbox"/> Credit File Method			
Client name		Source of credit file (i.e. Equifax)	
Credit file reference number		Verification date (mm/dd/yyyy)	
<input type="checkbox"/> Dual Process Method			
Client name			
1. Name of source documentation/information		Type of information (example: bank statement, CRA Assessment Notice)	
Reliable source of information account / reference number		Verification date (mm/dd/yyyy)	
2. Name of source documentation/information		Type of information (example: bank statement, CRA Assessment Notice)	
Reliable source of information account / reference number		Verification date (mm/dd/yyyy)	
<input type="checkbox"/> Agent/Entity/Mandatory*			
Client name			
Full name of entity/agent/mandatory			
Client identification method used		Date of verification by entity/agent/mandatory (mm/dd/yyyy)	
Information gathered by the entity			Verification date (mm/dd/yyyy)

* An entity is a domestic or foreign affiliate of your entity, or a financial entity that is a member of your financial services cooperative or credit union central. If client identification was conducted by an agent or mandatory, you must maintain a copy of the agreement

Dealer Use

Received, reviewed and complete.

Date (mm/dd/yyyy)

Name of Individual completing review

Signature