



## UPDATE: Transfer of accounts to B2B Bank Dealer Services from Canadian Western Trust Company

### November 10, 2017

As previously communicated, Canadian Western Trust Company ("CWT") will transfer all self-directed registered and investment accounts (code AETN, under the intermediary umbrella) to B2B Bank Dealer Services at the close of business on Friday, December 8, 2017. These accounts will be active under B2B Bank Dealer Services on Monday, December 11, 2017. Additionally, wire transfer orders will be placed through Fundserv over the weekend of December 9 and 10, 2017, and will also be trade dated on December 11, 2017.

For your convenience, a list of old CWT account numbers along with their corresponding B2B Bank Dealer Services intermediary code and accounts number will be provided to you via secure email by Friday, December 8, 2017.

We will also notify the following service providers with an overview of the account transfers to B2B Bank Dealer Services from CWT:

- Univeris
- Winfund
- RPM
- VieFund

#### **In-flight trades**

If there are any unsettled trades by Friday, December 8, 2017, they will be held back by CWT and transferred to B2B Bank Dealer Services after the transfer.

#### **Systematic instructions**

All systematic instructions, such as pre-authorized chequing (PAC) and systematic withdrawal plans (SWP), will remain the same after the transfer. We have also requested fund companies to transfer all clients' systematic instructions, including any relevant banking details, to B2B Bank Dealer Services' account from CWT's account upon the transfer date.

#### **RIF and LIF payments**

Any instructions for Retirement Income Fund (RIF) and Life Income Fund (LIF) payments will be carried over and will remain the same after the transfer.

If there are any discrepancies, B2B Bank Dealer Services will work with CWT to resolve them as quickly as possible.

If you have any questions, please contact:

- **Prior to the transfer:** CWT through your regular channels
- **After the transfer:** B2B Bank Dealer Services at 1.800.387.2087

Sincerely,

Michael Kazmierowski  
Vice President, Operations  
B2B Bank Dealer Services



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