



FOR DEALER USE ONLY. B2BBDS DOES NOT MONITOR  
 \_\_\_\_\_  
 DEALER I.D. NUMBER

# Segregated Fund Trade Ticket

Trades are executed by Fund Code ONLY.

FAX (416) 341-0261

TO EXECUTE THIS TRADE:  
 FOLLOW YOUR DEALER'S TRADE PROCEDURES. FAX ONLY **ONCE**. DO NOT SEND ORIGINAL. ORDERS RECEIVED TWICE MAY BE FILLED TWICE.

## 1. ACCOUNT INFORMATION

\_\_\_\_\_  
 CLIENT NAME (LAST NAME OR COMPANY/ORGANIZATION NAME) (FIRST NAME) B2BBDS ACCOUNT NUMBER  
 \_\_\_\_\_  
 SEGREGATED FUND DEALER #  
 \_\_\_\_\_  
 SEGREGATED FUND DEALER NAME SEGREGATED FUND FINANCIAL ADVISOR NAME SEGREGATED FUND FINANCIAL ADVISOR #

## 2. TRADE(S) – For initial purchases, an original segregated fund contract application must be forwarded to B2BBDS

SELL					BUY						
SWITCH	FUND CODE	AMOUNT TYPE	AMOUNT	GROSS/ NET	WIRE ORDER # DEALER USE ONLY	FUND CODE	AMOUNT TYPE	AMOUNT	SALES CHARGE	Distribution Option	WIRE ORDER # DEALER USE ONLY
S W				G N							
SEGREGATED FUND NAME					SEGREGATED FUND NAME						
S W				G N							
SEGREGATED FUND NAME					SEGREGATED FUND NAME						
S W				G N							
SEGREGATED FUND NAME					SEGREGATED FUND NAME						
S W				G N							
SEGREGATED FUND NAME					SEGREGATED FUND NAME						

### Amount Type Legend – \*Specify an amount

- A – ALL
- D – Dollars
- P – Percentage of shares
- S – Shares/units
- F – All shares/units not subject to DSC (also known as M + T free)
- M – Matured shares/units ONLY
- T – Shares/units allowed to be redeemed not subject to DSC, and excluding matured shares/units (also known as 10% free)

### Distribution Option Legend

- R – Reinvest
  - C – Cash
  - E – EFT to client
- Note: The default is Reinvest. If EFT to client is selected, complete section 3

## 3. BANKING INFORMATION – Attach a Void Cheque (for Distribution Option EFT to client ONLY)

\_\_\_\_\_  
 BANK NAME BANK TRANSIT NO. BANK ACCOUNT NO.

## 4. RESET OPTION Ensure that the Reset Option request meets the issuing institution's guidelines

I instruct you to apply my Reset Option \_\_\_\_\_  
 SEGREGATED FUND COMPANY SEGREGATED FUND COMPANY ACCOUNT #

## 5. AUTHORIZATION – Client & Financial Advisor authorization are mandatory

\_\_\_\_\_  
 CLIENT SIGNATURE (MANDATORY) DATE (mm/dd/yyyy)  
 \_\_\_\_\_  
 CLIENT SIGNATURE (IF JOINT ACCOUNT) DATE (mm/dd/yyyy)  
 \_\_\_\_\_  
 SEGREGATED FUND FINANCIAL ADVISOR SIGNATURE (MANDATORY) DATE (mm/dd/yyyy)

Reconcile trades with the B2BBDS Trade Report and advise us of errors and/or omissions immediately.

N\$M processing through FundSERV has been enabled for most segregated fund companies at B2BBDS. If available, you can use your Dealer's wire order system or FundSERV to enter segregated fund trades and eliminate the need to fax trades to B2BBDS.