



Know Your Client (KYC) Form

(This form is for use only with B2B Bank Securities Services Inc. ("B2BBSSI") accounts in conjunction with a new B2BBSSI Account Application)

1. APPLICANT/ANNUITANT INFORMATION

(Check one) INVESTMENT REGISTERED TFSA S.I.N.

ACCOUNT NUMBER

1-MR. 2-MRS.
 3-MISS 4-MS.
 5-DR. 6-PROF.

LAST NAME FIRST NAME INITIALS

2. FINANCIAL INFORMATION AND INVESTMENT EXPERIENCE

Include information on you, your Co-Applicant(s) and your spouse(s). For non-personal accounts, include information on the company or organization.

a) **Gross annual income from all sources:**

\$0-\$24,999 \$25,000-\$49,999 \$50,000-\$99,999
 \$100,000-\$149,999 \$150,000+

b) **Estimated net liquid assets: (bank accounts, T-Bills, and other assets that can be readily converted to cash without risk of loss or penalty.)**

\$0-\$24,999 \$25,000-\$49,999 \$50,000-\$99,999
 \$100,000-\$149,999 \$150,000+

c) **Estimated net fixed assets: (the value of all your fixed assets including real estate, securities, less all of your debts including mortgages.)**

\$0-\$24,999 \$25,000-\$74,999 \$75,000-\$149,999
 \$150,000-\$299,999 \$300,000+

d) **Estimated net worth: (d = b + c)**

\$0-\$24,999 \$25,000-\$74,999 \$75,000-\$149,999
 \$150,000-\$299,999 \$300,000+

e) **Applicant/Annuitant's investment knowledge:**

Low Low-Moderate Moderate Moderate-High High

f) **Co-Applicant's investment knowledge:**

Low Low-Moderate Moderate Moderate-High High

g) **Time Horizon:**

Less than 1 year 1 year to less than 3 years
 3 years to less than 5 years 5 years to less than 10 years
 10 years or more

h) **Risk tolerance:**

Low Low-Moderate Moderate Moderate-High High

i) **List investment objectives:**

% Liquidity
 % Safety
 % Income
 % Long-term Growth
 % Short-term Trading
 % Speculative
 % Inflation Hedging
 = 100 %

3. AUTHORIZATION

DEALER # _____ ADVISOR # _____

X _____ **X** _____
 SIGNATURE OF APPLICANT/ANNUITANT DATE (mm/dd/yyyy) SIGNATURE OF FINANCIAL ADVISOR DATE (mm/dd/yyyy)

X _____ **X** _____
 SIGNATURE OF CO-APPLICANT DATE (mm/dd/yyyy) BRANCH MANAGER APPROVAL DATE (mm/dd/yyyy)

X _____
 PARTNER'S OR DIRECTOR'S ACCEPTANCE DATE (mm/dd/yyyy)