B2B BANK DEALER SERVICES

FOR DEALER USE ONLY. B2BBDS DOES NOT MONITOR

DEALER I.D. NUMBER

Deregistration/Withdrawal Request

For registered or investment accounts

Import Data | Reset Form

FAX: 416.413.0591

PRINT LETTER SIZE

TO EXECUTE THIS REQUEST:
FAX ONLY **ONCE.** DO NOT SEND ORIGINAL. REQUESTS RECEIVED TWICE MAY BE DUPLICATED.

1. ACCOUNT INFORMATION

Indicate if the account is a group account (if not, leave blank): ☐ Group

CLIENT NAME (LAST NAME OR COMPANY/ORGANIZATION NAME) | (FIRST NAME) | B2BBDS ACCOUNT NUMBER | INVESTMENT LOAN NUMBER

DEALER NAME | ADVISOR NAME | DEALER # | ADVISOR #

2. DEREGISTRATION / WITHDRAWAL DETAILS

A. Source of Withdrawal – check one (✓) (MANDATORY)

☐ Cash only – cash settled in B2B Bank Dealer Services (“B2BBDS”) account

☐ Wire Order – previously placed dealer trade **(complete section 3, indicate wire order numbers and cash if applicable)**

* For net deregistration/withdrawal, gross up redemption to include B2BBDS fee and taxes.

** The mutual fund trade fee does not apply to wire order trades.

☐ Intermediary – B2BBDS to place trade **(complete section 3 for partial deregistration/withdrawal and cash if applicable).**

*** Subject to a $10 mutual fund trade fee per trade

B. Amount – check one (✓) (MANDATORY)

☐ Full Deregistration/Withdrawal – entire account will be sold **(do not complete section 3 if B2BBDS to place trade).**

☐ Gross – Partial Deregistration/Withdrawal
$________________
(Proceeds will be reduced by applicable taxes and fees)

☐ Net – Partial Deregistration/Withdrawal
$________________
(Proceeds will be net of applicable taxes and fees)

C. Delivery Instructions – check one (✓)

☐ EFT (void cheque attached)

☐ EFT (banking information on file)
Bank a/c # ____________

☐ Wire (void cheque attached, charge will apply)

☐ Wire (banking information on file, charge will apply)
Bank a/c # ____________

☐ Mail to client (send to address on file) - default

☐ Paydown or payout B2B loan

☐ Payout B2B loan and EFT balance to client's account

D. Special Instructions – check only if applicable

☐ Apply withdrawal proceeds to Income Plan where minimum is applicable

☐ Special withholding tax amount __________ %

3. SELL – ONLY USE FOR MUTUAL FUNDS – Trades are executed by Fund Code only

Avoid the $10 mutual fund trade fee by executing trades for a deregistration/withdrawal through a wire order. Any trade to be placed by B2BBDS and entered in the section below will be subject to the mutual fund trade fee.

SERVICE STANDARD FOR MUTUAL FUND TRADING – Trades faxed directly to Mutual Fund Trading by 12 noon (EST) and received in good order will be processed on a best effort basis for that day's trade date. **However, same day Net Asset Value (NAV) is not guaranteed.** To receive same day NAV, place your trades on Mutual Fund Web Trading through Advisor Access or use your Dealer's wire order system.

SELL

FUND NAME	FUND CODE	AMOUNT TYPE	AMOUNT	WIRE ORDER #	ESTIMATE OF FEES /CHARGES DEALER USE ONLY. (OPTIONAL) B2BBDS DOES NOT VALIDATE THIS SECTION.	ESTIMATE OF TAXES DEALER USE ONLY. (OPTIONAL) B2BBDS DOES NOT VALIDATE THIS SECTION.

AMOUNT TYPE LEGEND – *Specify an amount

ALL FUNDS

- **A** – ALL
- ***D** – Dollars
- ***P** – Percentage of shares
- ***S** – Shares

N$M MUTUAL FUNDS ONLY

- **F** – All shares/units not subject to DSC (also known as M + T free)
- **M** – Matured shares/units ONLY
- **T** – Shares/units allowed to be redeemed not subject to DSC, and excluding matured shares/units

N$M LABOUR SPONSORED INVESTMENT FUNDS (LSIFs)

- **B** – contributor disabled or terminally ill
- **C** – held longer than 5 - 8 years
- **J** – death
- **L** – free of DSC

4. AUTHORIZATION – For Power of Attorney, the documents must be attached.

X
CLIENT SIGNATURE **(MANDATORY)** | DATE (mm/dd/yyyy)

X
ADVISOR SIGNATURE **(MANDATORY)** | DATE (mm/dd/yyyy)

X
CLIENT SIGNATURE **(IF JOINT ACCOUNT)** | DATE (mm/dd/yyyy)

Reconcile trades with the Trade Report and advise us of errors and/or omissions immediately.
Please provide photo ID for non-residents of Canada.