



FOR DEALER USE ONLY. B2B BANK DEALER SERVICES ("B2BBDs") DOES NOT MONITOR  
 \_\_\_\_\_  
 DEALER I.D. NUMBER  
 A regulatory document has been delivered to the client prior to placing these trades.

# Mutual Fund Trade Ticket

Trades are executed by Fund Code ONLY.

FAX: 416.413.0591

TO EXECUTE THIS TRADE:

FOLLOW YOUR DEALER'S TRADE PROCEDURES. FAX ONLY **ONCE**. DO NOT SEND ORIGINAL. TRADES RECEIVED TWICE MAY BE DUPLICATED.

**SERVICE STANDARD FOR MUTUAL FUND TRADING** – Trades faxed directly to Mutual Fund Trading by 12 noon (EST) and received in good order will be processed on a best effort basis for that day's trade date. **However, same day Net Asset Value (NAV) is not guaranteed.** To receive same day NAV, place your trades on B2B Bank Dealer Services Mutual Fund Web Trading through Advisor Access or use your Dealer's wire order system.

## 1. ACCOUNT INFORMATION

Indicate if the account is one of these accounts (if not, leave blank):  IPP  Group

CLIENT NAME (LAST NAME OR COMPANY/ORGANIZATION NAME) \_\_\_\_\_ (FIRST NAME) \_\_\_\_\_ ACCOUNT NUMBER \_\_\_\_\_  
 DEALER NAME \_\_\_\_\_ ADVISOR NAME \_\_\_\_\_ DEALER # \_\_\_\_\_ ADVISOR # \_\_\_\_\_

## 2. TRADES – Each trade subject to a \$10 mutual fund trade fee

SELL						BUY											
SWITCH	CONVERSION	FUND CODE	AMOUNT TYPE	AMOUNT	GROSS NET	WIRE ORDER # DEALER USE ONLY	FUND CODE	AMOUNT TYPE	AMOUNT	SALES CHARGE	FUND OPTION	DIVIDEND OPTION	COMMISSION REBATE	WIRE ORDER # DEALER USE ONLY			
S	C				G								\$				
W	V				N								%				
FUND NAME (optional)						ESTIMATE OF FEES/CHARGES DEALER USE ONLY. (OPTIONAL) B2BBDs DOES NOT VALIDATE THIS SECTION.						FUND NAME (optional)					
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S	C				G								\$				
W	V				N								%				
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S	C				G								\$				
W	V				N								%				
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W	V				N								%				
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### Amount Type Legend – \*Specify an amount

- A – ALL
- \* D – Dollars
- \* P – Percentage of shares
- \* S – Shares
- F – All shares/units not subject to DSC (also known as M + T free)
- M – Matured shares/units ONLY
- T – Shares/units allowed to be redeemed not subject to DSC, and excluding matured shares/units (also known as 10% free)

### LSIF Amount Type Legend

- B – contributor disabled or terminally ill
- C – held longer than 5 – 8 years
- J – death
- L – free of DSC

### Dividend Option Legend

- 1 – Reinvest
  - 4 – Cash
  - 9 – Copy
  - E – EFT to client
- Note: The default is Copy. (Copy over dividend option and payment instruction from the switch out fund). If EFT to client is selected, complete section 3

## 3. BANKING INFORMATION – Attach a Void Cheque (for Dividend Option EFT to client ONLY)

BANK NAME \_\_\_\_\_ BANK TRANSIT NO. \_\_\_\_\_ BANK ACCOUNT NO. \_\_\_\_\_

## 4. AUTHORIZATION

CLIENT SIGNATURE (IF REQUIRED) \_\_\_\_\_  
 CLIENT SIGNATURE (IF REQUIRED) \_\_\_\_\_  
 ADVISOR SIGNATURE (MANDATORY) \_\_\_\_\_

DATE (mm/dd/yyyy) \_\_\_\_\_  
 DATE (mm/dd/yyyy) \_\_\_\_\_  
 DATE (mm/dd/yyyy) \_\_\_\_\_

**B2B Bank Dealer Services does not require this form if trades have already been placed.**

Reconcile trades with the B2B Bank Dealer Services Trade Report or AdvisorAccess and advise us of errors and/or omissions immediately.

Avoid the \$10 mutual fund trade fee and take advantage of B2B Bank Dealer Services Mutual Fund Web Trading, which is now standard on AdvisorAccess. View our Web Trading tutorial to see how to process trades with superior timeliness, accuracy and control.

If available, you can also use your Dealer's wire order system or Fundserv and eliminate the need to fax trades to B2B Bank Dealer Services.