



# Transfer Authorization for Non-Registered Investments

- This form can be used to transfer non-registered accounts from external financial institutions.
- Data entered on this form may be scanned and stored electronically.
- Please print neatly to ensure completeness, accuracy and machine-readability.

## A: Client Identification

|  |                            |                           |             |
|--|----------------------------|---------------------------|-------------|
| Account/Policy Holder Last Name                |                            | First Name                | Initial(s)  |
| Joint Account Holder Last Name (if applicable) |                            | First Name                | Initial(s)  |
| Address  |                            |                           |             |
| City   |                            | Province                  | Postal Code |
| Social Insurance Number                        | Residence Telephone<br>( ) | Business Telephone<br>( ) |             |

## B: Receiving Institution Information

|  |  |  |  |  |
|--|--|--|--|--|
| Receiving Institution Name   | <input type="checkbox"/> B2B Bank Financial Services Inc. (MFDA) | <input type="checkbox"/> B2B Bank Intermediary Services Inc. (AMF) | <input type="checkbox"/> B2B Bank Securities Services Inc. (IIROC) | Contact Name<br><b>CLIENT SERVICES</b> |
| Address<br><b>199 BAY STREET, SUITE 610 PO BOX 35 STN COMMERCE COURT</b> |  |  | Telephone Number<br><b>( 416 ) 964-0028</b>                        |  |
| City<br><b>TORONTO</b>   | Province<br><b>ON</b>  | Postal Code<br><b>M5L 0A3</b>                                      | Fax Number<br><b>( 416 ) 979-0638</b>                              |  |
| Client Account/Policy Number   |  |  | <b>FOR BBS DELIVERIES ONLY USE FINS #T080</b>                      |  |

|                         |              |           |                                  |                            |
|-------------------------|--------------|-----------|----------------------------------|----------------------------|
| For use by Dealers only | Dealer Name  |           | Dealer Number                    | Dealer Account Number      |
|                         | Advisor Name | Advisor # | Business Telephone Number<br>( ) | Business Fax Number<br>( ) |

### Account Type (Check one only)

- |   |                                       |  |
|---|---------------------------------------|--|
| <input type="checkbox"/> Individual                   | <input type="checkbox"/> In Trust For | <input type="checkbox"/> Unincorporated Organization |
| <input type="checkbox"/> Joint Rights of Survivorship | <input type="checkbox"/> Estate       | <input type="checkbox"/> Formal Trust                |
| <input type="checkbox"/> Tenants In Common (TIC)      | <input type="checkbox"/> Corporation  | <input type="checkbox"/> Other (e.g. RSP) _____      |

## C: Client Direction to Relinquishing Institution

|                                |                              |
|--------------------------------|------------------------------|
| Relinquishing Institution Name |                              |
| Address                        | Client Account/Policy Number |
| City                           | Province                     |
|                                | Postal Code                  |

### Transfer: (check one box only for asset transfer instructions)

- All in kind (as is)    All in cash\*    All assets\*, but mixed in cash and in kind; see list below or attached list    Partial\*; see list below or attached list

\*Please refer to statement in bold in Client Authorization section below.

|   | Investment Amount | Symbol and/or Certificate Number or Policy Number | Investment Description |
|---|-------------------|---|------------------------|
| <input type="checkbox"/> In Kind <input type="checkbox"/> In Cash<br><input type="checkbox"/> Shares/Units <input type="checkbox"/> Dollars |                   |   |                        |
| <input type="checkbox"/> In Kind <input type="checkbox"/> In Cash<br><input type="checkbox"/> Shares/Units <input type="checkbox"/> Dollars |                   |   |                        |
| <input type="checkbox"/> In Kind <input type="checkbox"/> In Cash<br><input type="checkbox"/> Shares/Units <input type="checkbox"/> Dollars |                   |   |                        |
| <input type="checkbox"/> In Kind <input type="checkbox"/> In Cash<br><input type="checkbox"/> Shares/Units <input type="checkbox"/> Dollars |                   |   |                        |

## D: Client Authorization

I hereby request the transfer of my account and its investments as described above.

**\*WHERE I HAVE REQUESTED A TRANSFER IN CASH, I AUTHORIZE THE LIQUIDATION OF ALL OR PART OF MY INVESTMENTS AND AGREE TO PAY ANY APPLICABLE FEES, CHARGES OR ADJUSTMENTS.**

|   |           |                   |   |       |                   |
|---|-----------|-------------------|---|-------|-------------------|
| <b>X</b>                                | _____     | DATE (mm/dd/yyyy) | <b>X</b>                                | _____ | DATE (mm/dd/yyyy) |
| AUTHORIZED CLIENT SIGNATURE (MANDATORY) |           |                   | AUTHORIZED CLIENT SIGNATURE (MANDATORY) |       |                   |
| ADVISOR NAME                            | ADVISOR # |                   | <b>X</b>                                | _____ | DATE (mm/dd/yyyy) |
|   |           |                   | ADVISOR SIGNATURE                       |       |                   |
| DEALER NAME                             | DEALER #  |                   | <b>X</b>                                | _____ | DATE (mm/dd/yyyy) |
|   |           |                   | DEALER SIGNATURE                        |       |                   |

### FORWARD TO B2B BANK DEALER SERVICES FOR PROCESSING

B2B Bank Dealer Services includes B2B Bank Financial Services Inc. (an MFDA member), B2B Bank Securities Services Inc. (an IIROC member, Member - Canadian Investor Protection Fund) and B2B Bank Intermediary Services Inc. (an AMF-regulated dealer operating in Quebec). B2B Bank is a trademark used under license.