

Fixed-Income Trade Ticket

Use this guide to assist you in completing a B2B Bank Securities Services Inc (B2BBSSI) Fixed Income Trade Ticket. This trade ticket is for trading fixed-income securities such as bonds, strip bonds, T-bills, secondary market sells of Canada Savings Bonds (CSBs), Ontario Savings Bonds (OSBs) and other savings bonds.

Trade Types Permitted:

- Buys of fixed-income products
- Sells of fixed-income products

Fixed Income Trade Ticket Restrictions:

- One ticket per trade.
- Cannot be used to purchase or sell GICs/GIAs.
- Cannot be used for trades that are executed at other Dealers and whose settlement is either a DAP (Delivery Against Payment) or RAP (Receipt Against Payment.) For more information on DAP or RAP trades, please contact the Trading Desk.

To place a fixed income trade, please call the Trading Desk at **1-866-542-3403**.

Introducing Dealers

If you are an Introducing Dealer **and** would like to place a trade, please complete the following steps:

1. Complete the B2BBSSI Fixed Income Trade Ticket
2. Fax to B2B Bank Securities Services Inc.:
Attn: Trading Desk
Fax: 416-413-0733

OR

Mail or courier to:
B2B Bank Securities Services Inc.
Attn: Trading Desk
199 Bay Street, Suite 610,
PO Box 35 STN Commerce Court,
Toronto ON M5L 0A3

The following process must be followed when filling out a Fixed Income Trade Ticket. Tickets that are missing the required information may result in processing delays.

Section	Required Fields	Tips
1. Call Backs	Contact information is required on all faxed or mailed fixed income trade tickets.	Call backs are made on a best- effort basis. If you would like to check the status of your order, please call the Trading Desk.
2. The Trade	All	<ol style="list-style-type: none"> 1. Contact the Trading Desk for issuer information; bond prices (net to client price); yields; credit rating; type of fixed-income product; maturity date; and bond listings. 2. Net To Client Price - Enter either "MKT" for market orders or a price. Net to Client prices are subject to change and are not guaranteed if trade is placed via trade ticket. 3. Default - If no price is indicated the trade will be executed as a market order.
3. Account Information	All	
4. Authorization	<p>Required</p> <p>(A) Client Signature is optional. Please note that your Dealer may require it. Please refer to your Dealer's policies.</p> <p>(B) Advisor Signature is mandatory.</p> <p>Note – not all MFDA Dealers are licensed to trade fixed- income products. If you are unsure, please refer to your Dealer's policies.</p>	Contact your Dealer's compliance department to determine if your Dealer can trade exempt securities.

For more information, please call the Trading Desk at **1-866-542-3403** or visit **b2bbank.com/dealerservices**.