



# Equity Trade Ticket

FAX: 416.413.0733

TO EXECUTE THIS TRADE:  
FAX ONLY ONCE. DO NOT SEND ORIGINAL. ORDERS RECEIVED TWICE MAY BE FILLED TWICE.

## 1. CALL BACKS

DO YOU WANT YOUR FILL CALLED BACK TO YOU?  YES  NO

NAME (BE PREPARED TO NOTE THE FILL. WE WILL ONLY PHONE ONCE.) \_\_\_\_\_ TELEPHONE NUMBER \_\_\_\_\_

## 2. THE TRADE

- A)  BUY OR  SELL
- B) # OF SHARES \_\_\_\_\_ OR DOLLAR AMOUNT \$ \_\_\_\_\_  
DO NOT PUT ALL
- C) SYMBOL \_\_\_\_\_ EXCHANGE \_\_\_\_\_  
(EXAMPLES: T-TSE, M-BDM, V-VSE, Y-ASR, Z-TORONTO OTC, N-NYSE, Q-NASDAQ)
- D) STOCK NAME \_\_\_\_\_
- E) PRICE \$ \_\_\_\_\_ DISCRETION \_\_\_\_\_  
ENTER MARKET OR LIMIT PRICE OPTIONAL
- F)  STOP LOSS PRICE \$ \_\_\_\_\_ STOP LIMIT \_\_\_\_\_  
FOR STOP LOSS ORDERS ONLY OPTIONAL
- G)  PRO OR  CLIENT
- H) SPECIAL TERMS (OPTIONAL)  ALL OR NONE
- I)  GOOD TILL CANCELLED OR  DAY
- J)  SOLICITED OR  UNSOLICITED
- K)  CFO — (CHANGE FORMER ORDER) ENTER NEW PRICE \$ \_\_\_\_\_
- L) COMMISSION \_\_\_\_\_

## 3. ACCOUNT INFORMATION

CLIENT LAST NAME \_\_\_\_\_ FIRST NAME \_\_\_\_\_ ACCOUNT NUMBER \_\_\_\_\_  
 DEALER NAME \_\_\_\_\_ ADVISOR NAME \_\_\_\_\_ DEALER # \_\_\_\_\_ ADVISOR # \_\_\_\_\_

Used for commission. Ensure information is correct.

## 4. AUTHORIZATION

CLIENTS SIGNATURE \_\_\_\_\_ DATE (mm/dd/yyyy) \_\_\_\_\_ ADVISOR SIGNATURE \_\_\_\_\_ DATE (mm/dd/yyyy) \_\_\_\_\_

REVIEW YOUR TRADE CONFIRMATIONS AND ADVISE US OF ERRORS AND/OR OMISSIONS IMMEDIATELY.

### B2B BANK SECURITIES SERVICES INC. ("B2BBSSI") INTERNAL USE ONLY

REFERRAL DEALER  YES  NO INTERNAL DEALER CODE \_\_\_\_\_  
 FA REFERRAL CODE \_\_\_\_\_ DAP / RAP # \_\_\_\_\_  
 SSI #: 11A / 12A / 14A / 15A / FD # \_\_\_\_\_ KYC CHECK  YES  NO  
 MFD / SD / LMD / IC / PM / IVD in \_\_\_\_\_ ENTERED/APPROVED \_\_\_\_\_  
 TRAILER INFORMATION \_\_\_\_\_ EXT. \_\_\_\_\_