



Corporate Beneficial Shareholder Information Statement

The purpose of this form is to collect information which securities dealers are required by law to obtain under the Proceeds of Crime (Money Laundering) and Terrorist Financing Act and by the By-laws, Regulations and Rules of the self-regulatory organizations governing securities dealers when opening an account.

1. Corporation Name: _____ (“Corporation”)
2. Corporation Dealer Name: _____ (“Dealer”)
3. Account No. (if known): _____ (“Account”)
4. The undersigned individual;
(a) owns or controls, directly or indirectly, more than 10% of the shares of the Corporation; or
(b) is the beneficial owner of, or exercises direct or indirect control or direction over, more than 10% of the voting rights attached to the outstanding voting securities of the Corporation.

If the person listed below is a business or other entity, please attach another form for that business or other entity.

Please consult your financial advisor for instructions with respect to the determination of the beneficial ownership or control of shares of the Corporation held indirectly through one or more corporations or other entities.

Name: _____ Date of Birth (mm/dd/yyyy): _____

Address: _____

City: _____ Province: _____ Postal Code: _____

Country of Residence: _____ Citizenship: _____

% Ownership/Control of shares: _____% % Ownership/Control of voting securities _____%

5. Is the undersigned:
(a) an officer or director of a reporting issuer or any other issuer whose securities are publicly traded (e.g. an entity whose securities are traded on a stock exchange or an over-the-counter market) (an “Issuer”); or
(b) an officer or director of a company which is itself an insider or a subsidiary of such Issuer?

If yes, please list the Issuer(s): _____

6. Does the undersigned:
(a) beneficially own; or
(b) have control or direction over; or
(c) have a combination of beneficial ownership of, and control or direction over,

directly or indirectly, securities of an Issuer carrying more than 10% of the voting rights attached to all of the Issuer’s outstanding voting securities?

If yes, please list the Issuer(s): _____

7. Does the undersigned or as part of a group, hold or control an Issuer?

If yes, please list the Issuer(s): _____

To: Dealer

By signing below and with respect to the Account application form of the above-noted Corporation to which this Corporate Beneficial Shareholder Information Statement is attached or is related to:

- (a) I declare the foregoing information to be true and complete; and
- (b) The Dealer has the right and I hereby authorize them to conduct a credit check or obtain a credit report or credit file with respect to me and my business, if applicable, for the purposes of providing services to the Corporation and to verify my identity.

Date (mm/dd/yyyy)

Name

Signature

Dealer Use

Received, reviewed and complete.

Date (mm/dd/yyyy)

Name of Individual completing review

Signature

NOTE TO DEALERS AND FINANCIAL ADVISORS

This form is provided as a courtesy only and B2B Bank Securities Services Inc. make no representations or warranties that this form or its use satisfies the requirements of the Proceeds of Crime (Money Laundering) and Terrorist Financing Act or the By-laws, Regulations and Rules of any applicable self-regulatory organization. It is recommended that this form only be used with the prior approval of your Compliance Department.