

1. APPLICANT/ANNUITANT INFORMATION

(Check one) INVESTMENT REGISTERED TFSA S.I.N.
 ACCOUNT NUMBER

1-MR. 2-MRS.
 3-MISS 4-MS.
 5-DR. 6-PROF.

LAST NAME FIRST NAME INITIALS

2. FINANCIAL INFORMATION AND INVESTMENT EXPERIENCE

Include information on you, your Co-Applicant(s) and your spouse(s). For non-personal accounts, include information on the company or organization.

a) Gross annual income from all sources:

\$0-\$24,999 \$25,000-\$49,999 \$50,000-\$99,999
 \$100,000-\$149,999 \$150,000+

b) Estimated net liquid assets: (bank accounts, T-Bills, and other assets that can be readily converted to cash without risk of loss or penalty.)

\$0-\$24,999 \$25,000-\$49,999 \$50,000-\$99,999
 \$100,000-\$149,999 \$150,000+

c) Estimated net fixed assets: (the value of all your fixed assets including real estate, securities, less all of your debts including mortgages.)

\$0-\$24,999 \$25,000-\$74,999 \$75,000-\$149,999
 \$150,000-\$299,999 \$300,000+

d) Estimated net worth: (d = b + c)

\$0-\$24,999 \$25,000-\$74,999 \$75,000-\$149,999
 \$150,000-\$299,999 \$300,000+

e) Applicant/Annuitant's investment knowledge:

Low Low-Moderate Moderate Moderate-High High

f) Co-Applicant's investment knowledge:

Low Low-Moderate Moderate Moderate-High High

g) Time Horizon:

Less than 1 year 1 year to less than 3 years
 3 years to less than 5 years 5 years to less than 10 years
 10 years or more

h) Risk tolerance:

Low Low-Moderate Moderate Moderate-High High

i) List investment objectives:

<input type="text"/>	Liquidity
<input type="text"/>	Safety
<input type="text"/>	Income
<input type="text"/>	Long-term Growth
<input type="text"/>	Short-term Trading
<input type="text"/>	Speculative
<input type="text"/>	Inflation Hedging
=	<input type="text"/> 100 %

3. AUTHORIZATION

DEALER # _____ ADVISOR # _____

<p>X _____ SIGNATURE OF APPLICANT/ANNUITANT</p>	<p>_____ DATE (mm/dd/yyyy)</p>	<p>X _____ SIGNATURE OF FINANCIAL ADVISOR</p>	<p>_____ DATE (mm/dd/yyyy)</p>
<p>X _____ SIGNATURE OF CO-APPLICANT</p>	<p>_____ DATE (mm/dd/yyyy)</p>	<p>X _____ BRANCH MANAGER APPROVAL</p>	<p>_____ DATE (mm/dd/yyyy)</p>
		<p>X _____ PARTNER'S OR DIRECTOR'S ACCEPTANCE</p>	<p>_____ DATE (mm/dd/yyyy)</p>