



ONLY one method required  
 Copy by Fax  
 Original by Mail / Courier

# Segregated Fund Trade Ticket

Trades are executed by Fund Code ONLY.

TO EXECUTE THIS TRADE:

FOLLOW YOUR DEALER/MGA'S TRADE PROCEDURES. ORDERS RECEIVED TWICE MAY BE FILLED TWICE.

Fax to: 416.341.0261 or 1.866.941.7711  
 199 Bay Street, Suite 600 PO Box 279 STN Commerce Court  
 Toronto ON M5L 0A2

## 1. ACCOUNT INFORMATION

CLIENT NAME (LAST NAME OR COMPANY/ORGANIZATION NAME)	(FIRST NAME)	B2B BANK PLEDGED ACCOUNT NUMBER
		DEALER/MGA #
SEGREGATED FUND DEALER/MGA NAME	SEGREGATED FUND FINANCIAL ADVISOR NAME	SEGREGATED FUND FINANCIAL ADVISOR #

## 2. TRADE(S) – For initial purchases, an original segregated fund contract application must be forwarded to B2B BANK

SELL					BUY						
SWITCH	FUND CODE	AMOUNT TYPE	AMOUNT	GROSS/NET	WIRE ORDER # DEALER USE ONLY	FUND CODE	AMOUNT TYPE	AMOUNT	SALES CHARGE	Distribution Option	WIRE ORDER # DEALER USE ONLY
S W				G N							
SEGREGATED FUND NAME					SEGREGATED FUND ACCOUNT #	SEGREGATED FUND NAME					SEGREGATED FUND ACCOUNT #
S W				G N							
SEGREGATED FUND NAME					SEGREGATED FUND ACCOUNT #	SEGREGATED FUND NAME					SEGREGATED FUND ACCOUNT #
S W				G N							
SEGREGATED FUND NAME					SEGREGATED FUND ACCOUNT #	SEGREGATED FUND NAME					SEGREGATED FUND ACCOUNT #
S W				G N							
SEGREGATED FUND NAME					SEGREGATED FUND ACCOUNT #	SEGREGATED FUND NAME					SEGREGATED FUND ACCOUNT #

### Amount Type Legend – \*Specify an amount

- A** – ALL
- D** – Dollars
- P** – Percentage of shares
- S** – Shares/units
- F** – All shares/units not subject to DSC (also known as M + T free)
- M** – Matured shares/units ONLY
- T** – Shares/units allowed to be redeemed not subject to DSC, and excluding matured shares/units (also known as 10% free)

### Distribution Option Legend

- R** – Reinvest
- C** – Cash
- E** – EFT to client
- Note: The default is Reinvest. If EFT to client is selected, complete section 3

## 3. BANKING INFORMATION – Attach a Void Cheque (for Distribution Option EFT to client ONLY)

BANK NAME	BANK TRANSIT NO.	BANK ACCOUNT NO.
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## 4. RESET OPTION Ensure that the Reset Option request meets the issuing institution's guidelines

I instruct you to apply my Reset Option

SEGREGATED FUND COMPANY	SEGREGATED FUND COMPANY ACCOUNT #
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## 5. AUTHORIZATION – Client & Financial Advisor authorization are mandatory

<input checked="" type="checkbox"/> CLIENT SIGNATURE (MANDATORY)	DATE (mm/dd/yyyy)
<input checked="" type="checkbox"/> CLIENT SIGNATURE (IF JOINT ACCOUNT)	DATE (mm/dd/yyyy)
<input checked="" type="checkbox"/> SEGREGATED FUND FINANCIAL ADVISOR SIGNATURE (MANDATORY)	DATE (mm/dd/yyyy)

Reconcile trades with the B2B Bank Trade Report and advise us of errors and/or omissions immediately.

For subsequent purchases:  
 N\$M processing through FundSERV has been enabled for most segregated fund companies at B2B Bank.  
 If available, you can use your Dealer/MGA's wire order system or FundSERV to enter segregated fund trades and eliminate the need to fax trades to B2B Bank.