



# Pledge Letter

EASE #: \_\_\_\_\_

To: \_\_\_\_\_

Mutual Fund Company Name

Re: **Security Pledged**

Mutual Fund Account Number: \_\_\_\_\_

Pledged Account Number: \_\_\_\_\_

Introducing Dealer Cross-Reference Number (if applicable): \_\_\_\_\_

I have today negotiated a mutual fund loan with B2B Bank.

In completing this transaction, I have pledged the following holding(s) as collateral for this loan:

(please specify the **exact** dollar value or unit amount)

Fund code\*: \_\_\_\_\_ Amount (\$/units) \_\_\_\_\_

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Fund code\*: \_\_\_\_\_ Amount (\$/units) \_\_\_\_\_

\*For Distribution Alliance Program, funds pledged must belong to the same fund family as the purchases and program selected.

Additional instructions: \_\_\_\_\_

Please re-register these holdings to, and in the name of:

B2B Bank Financial Services Inc., B2B Bank Securities Services Inc., or B2B Bank Intermediary Services Inc.

Send confirmation of said re-registration to:

**B2B Bank  
Investment Lending  
199 Bay Street, Suite 600  
PO Box 279 STN Commerce Court  
Toronto ON M5L 0A2**

Your attention to this matter is appreciated.

Yours truly,

\_\_\_\_\_  
Borrower Signature

\_\_\_\_\_  
Date (mm/dd/yyyy)

\_\_\_\_\_  
Signature Guarantee

\_\_\_\_\_  
Co-Borrower Signature

\_\_\_\_\_  
Date (mm/dd/yyyy)

\_\_\_\_\_  
Signature Guarantee