



### NOTICE OF PURCHASE OF ANNUITY WITH "PLAN" FUNDS

- For use by:
  - a trustee of a trust governed by:
    - a pension plan,
    - a deferred profit sharing plan or a revoked plan, or
    - a registered retirement savings plan;
  - a corporation:
    - described in paragraphs 149(1)(o.1) or 149(1)(o.2), or
    - described in paragraph (b)(ii) of the definition of "retirement savings plan" as per subsection 146(1); or
  - a "depository" described in paragraph (b)(iii) of the definition of "retirement savings plan" as per subsection 146(1); or
  - an insurance company:
    - holding funds of a pension plan, or
    - that is a person described in paragraph (a) of the definition of "retirement savings plans" as per subsection 146(1).
 (Note: This form should **not** be completed for transfer of funds to which paragraphs 60(j) or (l) or subsection 146(16) apply.)
- This notice should be completed in duplicate and signed by the trustee of the trust or responsible official of the corporation or of the depository, as applicable. One copy is to be forwarded with the funds being paid to the issuer of the annuity and one copy is to be retained by the purchaser.
- "Plan" as used in this form means a superannuation or pension fund or plan, a deferred profit sharing plan or revoked plan or a registered retirement savings plan.
- Section, subsections, paragraphs, subparagraphs and clauses referred to in this form are references to the Income Tax Act.

#### Particulars of Purchase

1. A payment in the amount of \$ \_\_\_\_\_ is hereby made to  
(SEE 4 BELOW)

\_\_\_\_\_  
(NAME OF ISSUER OF ANNUITY)

\_\_\_\_\_  
(ADDRESS)

(Check (✓) applicable boxes below)

- for the purchase of an annuity
  - in accordance with subsection 147.4(1) as per (b)(i) or (ii) below,
  - as described in subparagraph 147(2)(k)(iv) as per (b)(i), (ii), (iii) or (iv) below,
  - as described in paragraph (a) of the definition "retirement income" in subsection 146(1) as per (b)(i) or (ii) below, or
  - as described in paragraph (b) of the definition "retirement income" in subsection 146(1) as per (b)(v) or (vi) below,
- payable to
  - the annuitant for life,
  - the annuitant and the annuitant's spouse or common-law partner as a joint and last survivor annuity for life,
  - the annuitant for a fixed term of \_\_\_\_\_ years,
  - the annuitant and the annuitant's spouse or common-law partner after the annuitant's death, for a fixed term of \_\_\_\_\_ years,
  - the annuitant for a fixed term of years being 90 minus
    - the age in whole years of the annuitant at maturity, or
    - the age in whole years of the annuitant's spouse or common-law partner, if younger, at maturity (pursuant to the annuitant's election), or
  - the annuitant and the annuitant's spouse or common-law partner after the annuitant's death for a fixed term of years being 90 minus
    - the age in whole years of the annuitant at maturity, or
    - the age in whole years of the annuitant's spouse or common-law partner, if younger, at maturity (pursuant to the annuitant's election),
- who is (are)

\_\_\_\_\_  
(NAME OF ANNUITANT)

\_\_\_\_\_  
SOCIAL INSURANCE NUMBER

\_\_\_\_\_  
(ADDRESS)

\_\_\_\_\_  
(NAME OF ANNUITANT'S SPOUSE OR COMMON-LAW PARTNER (if applicable))

\_\_\_\_\_  
SOCIAL INSURANCE NUMBER

- The declared date of birth of the annuitant is \_\_\_\_\_,
  - The declared date of birth of the annuitant's spouse or common-law partner (if applicable) is \_\_\_\_\_.

- (Applicable only to an annuity under 1(b)(i) above, or to the initial life of an annuity under 1(b)(ii) above),
  - The annuity is not to have a guaranteed term, or
  - The annuity is to be for a guaranteed term of \_\_\_\_\_ years. (See Notes below)

Note 1: Where the life annuity is pursuant to paragraph (a) of the definition "retirement income" described in subsection 146(1), the guaranteed term may not exceed the term referred to in 1(b)(v) or (vi) above, pursuant to the annuitant's election.

Note 2: Where the life annuity is pursuant to subparagraph 147(2)(k)(iv), the guaranteed term may not exceed 15 years.

- If the annuity is purchased with an amount which, had it been paid directly to the annuitant, would
  - partly be income of the annuitant by virtue of subsection 146(8), and
  - partly, because of the application of subsection 146(15), not be a benefit under a registered retirement savings plan

enter in 1 above the amount described in 4(i) and enter here the full amount paid. \$ \_\_\_\_\_

(continued on page 2)

**Particulars of Purchase** (continued)

- 5. If part or all of the amount paid is committed to the purchase of an annuity for life by virtue of Section 10 of the Pension Benefits Standards Act or by equivalent provincial legislation, enter here the amount so committed. \$ \_\_\_\_\_
- 6. The issuer of the annuity is hereby advised that the payment of funds reported in 1 above does not constitute income of, or a deduction from income of, the annuitant and that all amounts paid under or in settlement of the annuity or rights to the annuity attributable to the amount reported in 1 above will be income of the annuitant or other recipient when received and must be reported on
  - (i) forms T4-T4A Summary and T4A Supplementary if 1(a)(i) or (ii) above are applicable, or
  - (ii) forms T4RSP Summary and Supplementary (or NR4B Summary and NR4B Supplementary, if applicable) if 1(a)(iii) or (iv) above are applicable.

**Particulars of Plan Purchasing Annuity**

- 1. \_\_\_\_\_  
(NAME OF PLAN PURCHASING ANNUITY)
- 2. Registration Number of above plan if it is or was a registered pension plan or a deferred profit sharing plan \_\_\_\_\_
- 3. Identification or Certificate Number of above plan if it is a registered retirement savings plan \_\_\_\_\_

**Certification**

**I HEREBY CERTIFY** that the information given on this notice is true, correct and complete in every respect.

\_\_\_\_\_  
(NAME OF PURCHASER – DEPOSITARY TRUST, TRUST COMPANY, CORPORATION, ETC. (Print))

\_\_\_\_\_  
(DATE)

\_\_\_\_\_  
(SIGNATURE OF AUTHORIZED PERSON)

\_\_\_\_\_  
(POSITION OR OFFICE)